SEC Form 3

FORM 3

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

OMB APPROVAL

OMB Number: 3235-0104 Estimated average burden hours per response: 0.5

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Add		2. Date of Event Requiring Statement (Month/Day/Year) 06/01/2019		3. Issuer Name and Ticker or Trading Symbol <u>FACTSET RESEARCH SYSTEMS INC</u> [FDS]						
(Last) (First) (Middle) C/O FACTSET RESEARCH SYSTEMS INC.					4. Relationship of Reporting Perso (Check all applicable) Director Officer (give title	10% Owner Other (specify below)		5. If Amendment, Date of Original Filed (Month/Day/Year)		
601 MERRITT 7					X below) EVP, Global Head			6. Individual or Joint/Group Filing (Check Applicable Line) X Form filed by One Reporting Person		
(Street) NORWALK CT 06851									y More than One	
(City)	(State)	(Zip)								
Table I - Non-Derivative Securities Beneficially Owned										
1. Title of Security (Instr. 4)					2. Amount of Securities Beneficially Owned (Instr. 4)			4. Nature of Indirect Beneficial Ownership (Instr. 5)		
Common Stock					473(1)	D				
Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)										
1. Title of Derivative Security (Instr. 4)			2. Date Exercisable and Expiration Date (Month/Day/Year)		3. Title and Amount of Securit Underlying Derivative Securit	y (Instr. 4) Conve or Exe		5. Ownership Form:	6. Nature of Indirect Beneficial Ownership (Instr. 5)	
			Date Exercisable	Expiration Date	n Title	Amount or Number of Shares	Price of Derivativ Security	Direct (D) e or Indirect (I) (Instr. 5)		
Employee Stor	Employee Stock Option (right to buy)		(2)	11/01/2028	3 Common Stock	2,219	221.8	3 D		
Employee Stock Option (right to buy)		(3)	11/02/2023	7 Common Stock	3,729	189.98	3 D			
Employee Stock Option (right to buy)		(4)	11/01/2026	5 Common Stock	4,564	152.28	3 D			
Employee Stock Option (right to buy)		(5)	11/02/2025	5 Common Stock	2,125	175.2	D			
Employee Stock Option (right to buy)			(6)	11/02/2025	5 Common Stock	2,337	175.2	D		
Employee Stock Option (right to buy)			(7)	05/01/2025	5 Common Stock	3,336	159.14	4 D		
Employee Stock Option (right to buy)			(8)	11/03/2024	4 Common Stock	2,522	131.3	L D		
Employee Stock Option (right to buy)			(9)	11/01/2023	3 Common Stock	375	108.64	4 D		

Explanation of Responses:

1. Represents 254 restricted stock units granted on November 1, 2018 that vest 20% annually on the anniversary date of the grant and are fully vested after five years and 219 shares acquired through the FactSet Research Systems Inc. Employer Stock Purchase Plan.

2. Options granted on November 1, 2018 vest 20% annually on the anniversary date of the grant and are fully vested after five years.

3. Options granted on November 2, 2017 vest 20% annually on the anniversary date of the grant and are fully vested after five years.

4. Options granted on November 1, 2016 vest 20% annually on the anniversary date of the grant and are fully vested after five years.

5. Options granted on November 2, 2015 vest 20% annually on the anniversary date of the grant and are fully vested after five years.

6. Options granted on November 2, 2015 vest 20% annually on the anniversary date of the grant and are fully vested after five years.

7. Options granted on May 1, 2015 vest 60% on the third-anniversary date of the grant and 40% on the fifth-anniversary date of the grant.

8. Options granted on November 3, 2014 vest 60% on the third-anniversary date of the grant and 40% on the fifth-anniversary date of the grant.

9. Options granted on November 1, 2013 vest 20% on the first-anniversary date of the grant and 1.67% per month thereafter, for the remainder of the grant term.

Remarks:

Effective June 1, 2019, FactSet Research Systems Inc. appointed Franck A.R. Gossieaux as Executive Vice President, Global Head of Sales and Client Solutions.

/s/ Franck A.R. Gossieaux

** Signature of Reporting Person

06/04/2019

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 5 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.