SEC Form 3

FORM 3

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

## **INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES**

OMB APPROVAL

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person <sup>*</sup> <u>Robie Robert J.</u>	2. Date of Event Requiring Statement (Month/Day/Year) 09/01/2018		3. Issuer Name and Ticker or Trading Symbol <u>FACTSET RESEARCH SYSTEMS INC</u> [ FDS ]					
(Last) (First) (Middle) C/O FACTSET RESEARCH SYSTEMS INC.			4. Relationship of Reporting Person(s) to Issue (Check all applicable) Director 10% Owne		(Month/Day/Year)			
601 MERRITT 7			X Officer (give title below) EVP, Head of Trading&	Other (spe below) Analytics	6. Ir	6. Individual or Joint/Group Filing (Check Applicable Line) X Form filed by One Reporting Person		
(Street) NORWALK CT 06851							y More than One	
(City) (State) (Zip)								
Table I - Non-Derivative Securities Beneficially Owned								
1. Title of Security (Instr. 4)			Beneficially Owned (Instr. 4)	3. Ownersh Form: Direc or Indirect (Instr. 5)	cṫ(D) (Inst		Beneficial Ownership	
FactSet Common Stock			1,252	D				
FactSet Common Stock	ctSet Common Stock		<b>646</b> <sup>(1)</sup>	D				
Table II - Derivative Securities Beneficially Owned           (e.g., puts, calls, warrants, options, convertible securities)								
1. Title of Derivative Security (Instr. 4)	2. Date Exercisable and Expiration Date (Month/Day/Year)		<ul> <li>3. Title and Amount of Securities Underlying Derivative Security (Instr. 4</li> </ul>		4.	5. Ownership Form:		
			Underlying Derivative Security	/ (Instr. 4)	Conversion or Exercise	Ownership Form:	6. Nature of Indirect Beneficial Ownership (Instr. 5)	
				Amount or Number of Shares		Ownership	Beneficial Ownership	
Non-Qualified Stock Option (right to buy)	(Month/Day/)	rear) Expiratior	Title	Amount or Number of	or Exercise Price of Derivative	Ownership Form: Direct (D) or Indirect	Beneficial Ownership	
Non-Qualified Stock Option (right to buy) Non-Qualified Stock Option (right to buy)	(Month/Day/) Date Exercisable	(ear) Expiratior Date	Title FactSet Common Stock	Amount or Number of Shares	or Exercise Price of Derivative Security	Ownership Form: Direct (D) or Indirect (I) (Instr. 5)	Beneficial Ownership	
	(Month/Day/A Date Exercisable 11/02/2018 <sup>(2)</sup>	Fear) Expiration Date 11/02/2027	<ul> <li>Title</li> <li>FactSet Common Stock</li> <li>FactSet Common Stock</li> </ul>	Amount or Number of Shares 5,179	or Exercise Price of Derivative Security 189.98	Ownership Form: Direct (D) or Indirect (I) (Instr. 5)	Beneficial Ownership	
Non-Qualified Stock Option (right to buy)	(Month/Day/A Date Exercisable 11/02/2018 <sup>(2)</sup> 11/01/2017 <sup>(2)</sup>	fear) Expiration Date 11/02/2027 11/01/2026	Title       7     FactSet Common Stock       5     FactSet Common Stock       5     FactSet Common Stock	Amount or Number of Shares 5,179 4,817	or Exercise Price of Derivative Security 189.98 152.28	Ownership Form: Direct (D) or Indirect (I) (Instr. 5) D D	Beneficial Ownership	
Non-Qualified Stock Option (right to buy) Non-Qualified Stock Option (right to buy)	(Month/Day/N Date Exercisable 11/02/2018 <sup>(2)</sup> 11/01/2017 <sup>(2)</sup> 11/02/2016 <sup>(2)</sup>	Cear) Expiration Date 11/02/2027 11/01/2026 11/02/2025	Title       7     FactSet Common Stock       5     FactSet Common Stock       5     FactSet Common Stock       5     FactSet Common Stock	Amount or Number of Shares           5,179           4,817           2,444	or Exercise Price of Derivative Security 189.98 152.28 175.2	Ownership Form: Direct (D) or Indirect (I) (Instr. 5) D D D	Beneficial Ownership	
Non-Qualified Stock Option (right to buy)         Non-Qualified Stock Option (right to buy)         Non-Qualified Stock Option (right to buy)	(Month/Day/N Date Exercisable 11/02/2018 <sup>(2)</sup> 11/01/2017 <sup>(2)</sup> 11/02/2016 <sup>(2)</sup> 05/01/2018 <sup>(3)</sup>	Year) Expiration Date 11/02/2027 11/01/2026 11/02/2025 05/01/2025	Title       7     FactSet Common Stock       5     FactSet Common Stock       5     FactSet Common Stock       5     FactSet Common Stock       6     FactSet Common Stock	Amount or Number of Shares           5,179           4,817           2,444           1,557	or Exercise           Price of           Derivative           Security           189.98           152.28           175.2           159.14	Ownership Form: Direct (D) or Indirect (I) (Instr. 5) D D D D D	Beneficial Ownership	
Non-Qualified Stock Option (right to buy)         Non-Qualified Stock Option (right to buy)         Non-Qualified Stock Option (right to buy)         Non-Qualified Stock Option (right to buy)	(Month/Day/X Date Exercisable 11/02/2018 <sup>(2)</sup> 11/01/2017 <sup>(2)</sup> 11/02/2016 <sup>(2)</sup> 05/01/2018 <sup>(3)</sup> 11/03/2017 <sup>(3)</sup>	Year) Expiration Date 11/02/2027 11/01/2026 11/02/2025 05/01/2025 11/03/2024	Title       7     FactSet Common Stock       5     FactSet Common Stock       5     FactSet Common Stock       5     FactSet Common Stock       6     FactSet Common Stock       7     FactSet Common Stock       7     FactSet Common Stock	Amount or Number of Shares           5,179           4,817           2,444           1,557           1,752	or Exercise           Price of           Derivative           Security           189.98           152.28           175.2           159.14           131.31	Ownership       Form:       Direct (D)       or Indirect       (I) (Instr. 5)       D       D       D       D       D       D       D       D	Beneficial Ownership	

## Explanation of Responses:

1. Represents a previously granted restricted stock award, which will vest on November 1, 2018, subject to continued employment.

2. Represents stock option grants that vest 20% annually on the anniversary date of the grant and are fully vested after five years, subject to continued employment.

3. Represents stock option grants which vest over 5 years with 60% on the third anniversary of grant date and the remaining 40% on the fifth anniversary, subject to continued employment.

4. Twenty percent of option grant is exercisable one year after the grant date, with the remainder vesting at a rate of 1.67% per month thereafter, subject to continued employment.

## **Remarks:**

Effective September 1, 2018, FactSet Research Systems Inc. ("FactSet" or the "Company") appointed Robert J. Robie as Executive Vice President, Head of Analytics & Trading. Mr. Robie reports directly to Philip Snow, FactSet's Chief Executive Officer

/s/ Robert J. Robie	<u>09/11/2018</u>
** Signature of Reporting Person	Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 5 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.